

D4

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
17 July 2003 (17.07.2003)

PCT

(10) International Publication Number
WO 03/058573 A1

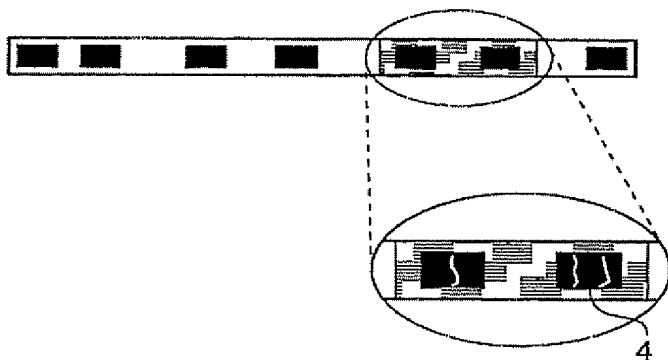
- (51) International Patent Classification⁷: **G08B 21/18**, 13/24
- (21) International Application Number: **PCT/GB02/05805**
- (22) International Filing Date:
19 December 2002 (19.12.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
0200334.1 8 January 2002 (08.01.2002) GB
0226140.2 8 November 2002 (08.11.2002) GB
- (71) Applicant (for all designated States except US): **FLYING NULL LIMITED** [GB/GB]; Harston Mill, Harston, Cambridge CB2 5GG (GB).
- (72) Inventors; and
(75) Inventors/Applicants (for US only): **DICKINSON, Robert** [GB/GB]; 51 West Hill Road, Wandsworth, London SW18 1LE (GB). **ARNOLD, David** [GB/GB]; 20 Pine Grove, Weybridge, Surrey KT13 9AW (GB).
- (74) Agent: **FENLON, Christine, Lesley**; Haseltine Lake, 15-19 Kingsway, London WC2B 6UD (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Continued on next page]

(54) Title: **MAGNETIC INDICATOR**



A



B

(57) Abstract: The present application relates to techniques for detecting if an article has previously experienced certain environmental conditions, such as temperature, shock, strain, which may be adverse to the proper functioning, quality or safety, of the article. There is provided a magnetic indicator comprising a plurality of magnetic elements (1) having remotely detectable properties arranged on a substrate (2). The substrate exhibits a known response to a predetermined change in one or more environmental conditions to which the indicator may be subjected, the response being such that the remotely detectable properties of at least one of the magnetic element(s) is permanently altered.

WO 03/058573 A1



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SI, SK,
TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

— *with international search report*

Magnetic Indicator

The present invention relates to an indicator which can be applied or used in conjunction with a wide range of products or consumer articles and which provides a means of remotely detecting if the indicator has previously experienced certain environmental conditions, as herein defined, which may be adverse to the proper functioning, quality or safety, of an article to which the indicator may be attached.

It is common for modern electronic consumer articles to be fitted with complex and often delicate components. These components can be sensitive to high and low temperatures and to shock. Examples of such devices are the LED displays used in portable electronic components such as watches, portable computing equipment and mobile phones.

In cases where the electronic article fails to function, either partially or totally, this failure may have been caused by the article previously experiencing adverse environmental conditions which are outside an acceptable operating/storage range, including: high/low temperatures and/or shock and/or water or chemical contamination. In such circumstances, for example, it would be advantageous for a manufacturer, retailer, supplier or end user to be able to establish what may have caused the failure, and thus where the liability for the article's malfunction falls.

There is also a need to ensure that frozen food products, such as meat or fish, have not exceeded a

given temperature. Furthermore, an ability to determine if an article which may comprise, amongst others, a food product, confectionary or electronic goods, has been exposed to water or water vapour, or contaminated by a given chemical, would also be desirable.

It would also be advantageous for the detection of adverse environmental conditions previously experienced to be ascertained remotely.

10

According to the present invention there is provided a magnetic indicator comprising a plurality of magnetic elements having remotely detectable properties arranged on a substrate, wherein the substrate exhibits a known response to a predetermined change in one or more environmental conditions to which the indicator may be subjected, the response being such that the remotely detectable properties of at least one of the magnetic element(s) is permanently altered.

20

Elements that have altered may advantageously produce a significantly reduced signal during interrogation of the magnetic tag by a suitable interrogation device. It therefore becomes possible to ascertain whether the tag, and thus the article to which it is attached, has previously been subjected to environmental conditions which may be adverse to the proper functioning, quality or safety, of an article to which the indicator may be attached.

30

Preferably, but not essentially, the magnetic properties of the magnetic elements are determined by subjecting them to a magnetic interrogation field

comprising, in a given direction, a high saturating magnetic field region, contiguous with a zero magnetic field region. Relative movement between the interrogation field and the magnetic elements of the indicator means that the elements, which are preferably formed of high coercivity, low permeability magnetic material (10000 henry per metre), are driven in and out of magnetic saturation. The magnetic permeability of the material advantageously exhibits a preferred axis of magnetisation so that when excited with an ac magnetic field parallel to the preferred axis of permeability, the magnetic of the material will comprise a non-linear function of the interrogation field, and will produce harmonics of the exciting field in regions where there is a zero tangential field. The presence of these harmonics will indicate the presence of the material so that a response signal can be obtained in which there exists a relationship between the time domain of the response and the spatial arrangement of the magnetic elements.

Advantageously, the magnetic elements are in the form of a thin film of less than 1 micron thick and which has a typical coercivity of less than 10 Gauss.

A detailed description of this technology, known as "Flying Null technology" can be found in WO 96/31790 the disclosure of which is incorporated herein by way of reference thereto.

Embodiments of the present invention which use tags that are based on Flying Null technology, such as those described in WO 96/31790, may be interrogated by

reading devices which use a magnetic interrogation field to which the elements will respond such as those described in WO 97/48990, WO 98/15851 and WO 00/39611. The disclosures of these documents is incorporated
5 herein by way of reference thereto.

In one embodiment the substrate exhibits a temperature sensitive response so that when the ambient temperature to which the indicator is subjected falls outside (i.e.
10 above or below) a predetermined range, the temperature sensitive response of the substrate causes at least one of the magnetic elements to fracture. This effect is observed as a result of the expansion/contraction of the temperature sensitive substrate in response to
15 different temperatures. For example, the substrate may advantageously be designed so that it will contract less than the magnetic element, thereby causing that element to fracture.

20 The indicator of this embodiment may advantageously be manufactured so that the substrate is formed from more than one carrier material, each material having a different temperature sensitive response, so that the elements of the tag are mounted on different carrier
25 materials. In this way, it is possible to determine if the indicator has previously experienced temperatures outside a number of different levels (e.g. 50C, 100 C) since the different materials will require different threshold temperatures to be exceeded before the
30 material will have undergone an expansion sufficient to cause the fracturing of the overlaying element(s).

It may also be desirable to know when an article has been subjected to shocks which exceed certain predetermined levels, for example, as a result of the article being dropped, since the shock experienced by an article may have an adverse effect on the proper functioning of the article.

According to a further aspect of the present invention the substrate is provided with at least one fault line underlying one or more of the magnetic elements, such that when the indicator is subjected to a shock which exceeds a predetermined level, the substrate responds by fracturing along the at least one fault line thereby causing the one or more magnetic elements to fracture.

Thus it is possible to determine whether the indicator, (and thus any article to which it is attached), has experienced a shock exceeding a specified level.

In a similar way, the magnetic indicator can be arranged in proximity to a cap or seal, such that the substrate will fracture, thereby causing one or more of the overlying elements to fracture when the cap or seal is opened. This enables prior unauthorised tampering of a container or the like to be remotely detected without opening the cap or seal.

In a further embodiment the magnetic elements are mounted on a non-planar substrate, so that the elements are disposed on the substrate at varying angles relative to the plane of the substrate. When an indicator according to this embodiment is interrogated according to Flying Null technology by a magnetic field

comprising a high saturating magnetic field region, contiguous with a zero magnetic field region, the elements will emit a detectable response as they are driven in and out of saturation so that there will
5 exist a relationship between the time domain of the response and the spatial arrangement of the magnetic elements including the angle of the magnetic elements. If the indicator experiences certain environmental conditions the profile or shape of the substrate may be
10 altered, so that the relative positions or angles of the elements may be altered. Therefore, when the indicator is interrogated, the position at which the elements will respond to the interrogation field, and thus the time domain of the response, will have changed
15 thereby enabling adverse conditions previously experienced by the indicator, such as shock or strain, temperatures outside a certain range or water/chemical contamination, to be to be detected.

20 Preferably, the indicator embodying the present invention can also function as an information carrier. For example, one part of the device may comprise a plurality of magnetic elements that are arranged on the substrate or carrier such that the spacing between them
25 is used to represent a code. In this way, the label may advantageously be encoded with information about the article to which the label is to be attached, e.g. - manufacturer, supplier or cost. This can be particularly useful for verifying the authenticity of
30 the article manufacturer, particularly if the information code is remains unaffected by changes in environmental conditions which may occur. This can be achieved by mounting the elements that are to form a

code on a part of the substrate which comprises material which is not significantly affected by changes in, amongst others, the temperature/shock/moisture. The magnetic properties of the elements themselves may also
5 be used as a further means to encode information so that some, or all, of the elements has a unique attribute.

According to a further embodiment of the present
10 invention, there is provided an indicator having a substrate which exhibits both a temperature sensitive response and which has a fault line. It is possible that this combined temperature and shock indicator can be augmented with a non-volatile identity (i.e. one
15 that will not be lost should the indicator be subjected to temperatures and/or shock above a certain threshold) This non-volatile identity can be advantageously used to verify the article's authenticity and/or identity.

20 In some situations it may be desirable to be able to determine if a product, such as a food product or electronic article, has been exposed to water or water vapour. In an embodiment of the present invention there is provided a substrate which is arranged to absorb
25 water or water vapour, such that the absorption of a predetermined amount of water or water vapour causes at least one of the magnetic elements to fracture.

In a further embodiment of the present invention, it is
30 envisaged that the indicator is provided with a layer of material over the surface of the indicator elements. The overlaying material is advantageously arranged so that it will wear away, for example when exposed to

frictional forces, thereby exposing the underlying magnetic elements. Once the layer has worn away, the magnetic elements will continue to be subjected to any frictional forces, so that the response of the indicator will be substantially reduced. Such an embodiment could be used to provide a remote non-contact indicator of tyre wear, for example.

For a better understanding of the present invention and to show how the same may be carried into effect, reference will now be made, by way of example, to the accompanying drawings in which:

Figures 1A and 1B show an indicator embodying the present invention comprising a substrate having a temperature sensitive response;

Figure 2 shows an indicator embodying the present invention comprising a substrate having a fault line;

Figure 3A and 3B show an indicator embodying the present invention having a non-planar substrate;

Figure 4 shows an indicator having a non-planar substrate which is interrogated by two readheads.

Figure 1 shows an indicator embodying the present invention and comprises a plurality of magnetic elements 1 supported by or incorporated in a substrate 2. The substrate 2 is provided with a carrier material 3 exhibiting a known temperature sensitive response. As the temperature changes from ambient, the elements 4, overlying the carrier material 3, will experience a

differential contraction or expansion due to the contraction or expansion of the underlying carrier material. The degree of contraction/expansion experienced by each of the elements will depend upon
5 the properties of carrier material upon which the element is provided. If the carrier material undergoes a sufficient expansion, the element(s) will fracture, as shown in Figure 1B, thereby significantly altering the magnetic properties of the magnetic elements.

10

Elements that have fractured in this way will produce a significantly reduced signal during interrogation of the indicator by a suitable reading device. It therefore becomes possible to ascertain whether the
15 indicator, and thus the article or product to which the indicator may be attached, has previously been subjected to temperatures which fall outside a specified range.

20 Figure 2 shows an embodiment of the present invention in which the indicator is provided with a substrate 21, having a fault line 23 underlying one of the magnetic elements 20. When the indicator is subjected to a shock which exceeds a predetermined level, the substrate
25 responds by fracturing along the fault line 23 thereby causing the magnetic element 20 to fracture in a substantially controlled manner or in the vicinity of the fault line.

30 Figure 3A shows an indicator embodying the present invention in which a plurality of magnetic elements 30 are disposed on a non planar substrate 31, so that the elements are disposed on the substrate at varying

angles relative to the plane of the substrate. The indicator is interrogated by a read head 32 which generates a magnetic field comprising a high saturating magnetic field region, contiguous with a zero magnetic field region. When subjected to this magnetic field the elements will emit a detectable response as they are driven in and out of saturation. There will therefore exist a relationship between the time domain of the response and the spatial arrangement of the magnetic elements 30.

Due to the non-planar shape of the tag, there will be an error in the detected position of the magnetic element, an effect which can be most easily understood by reference to Figure 3B which illustrates the flux lines 41 being emitted from the magnetic readhead 32. A magnetic element will emit a detectable response when it is subjected to the null tangential component of the magnetic field. This will occur, in the case of an element 40 positioned so that it is perpendicular to the flux centre line 44 (i.e. parallel to the pole), when the tangential component of the field parallel to the element is zero. However, in the case of an element 41, which is not positioned parallel to the pole, there will be no response at the flux centre line since the component of the field tangential to the element will not be zero. Instead, a response is received away from the flux centre line at 41a where the flux lines are normal to the element (so that the tangential field along the element will be zero). Thus, a response is detected at 41a, for an element which is actually positioned at 41. The error x of the detected position will depend on the angle of the element away from

parallel. Thus, since the substrate is not flat, the angles of the elements relative to the plane of the indicator will vary, so that the response signals obtained from the elements of the tag will be
5 different.

If the indicator experiences certain environmental conditions the profile or shape of the substrate may be altered, so that the relative positions of the elements
10 will likewise be altered. Therefore, when the indicator is interrogated, the position at which the elements will respond to the interrogation field, and thus the time domain of the response, will have changed thereby enabling adverse conditions previously experienced by
15 the indicator, such as shock or strain, temperatures outside a certain range or water/chemical contamination, to be to be detected.

If two readheads 50 and 51 are used as shown in Figure
20 4, each having a different magnetic centre line, then the estimated positions will be different for each reader. For example, the position determined by readhead 50 will be A and the position determined by readhead 51 will be B. It then becomes possible for the
25 true position of the element to be determined by averaging the two positional estimates. Alternatively, the divergence in element positions measured by the two readheads can be used to measure the angle of the element. If the tag is put under strain the elements
30 will straighten out, and the angles will change. Therefore, in this configuration the tag can be used to detect strain.

12

This method of using two readheads, each having a different centre line, may advantageously be used in a number of other applications which employ magnetic identification or coding techniques whereby one or a plurality of magnetic elements are supported on a substrate or article which may not exactly planar, or which has some surface discontinuities. The use of two readheads to determine the positions of the elements (and thus any information encoded by their relative positions) as described above, enables errors in the detected positions of the elements to be compensated for.

15

20

25

30

CLAIMS

1. A magnetic indicator comprising a plurality of
5 magnetic elements having remotely detectable properties
arranged on a substrate, wherein the substrate exhibits
a known response to a predetermined change in one or
more environmental conditions to which the indicator
may be subjected, the response being such that the
10 remotely detectable properties of at least one of the
magnetic element(s) is altered.
2. A magnetic indicator as claimed in claim 1, wherein
the substrate exhibits a temperature sensitive
15 response.
3. A magnetic indicator as claimed in claim 2, wherein
when the ambient temperature to which the indicator is
subjected falls outside a predetermined range, the
20 temperature sensitive response of the substrate causes
at least one of the magnetic elements to fracture.
4. A magnetic indicator as claimed in claim 2 or 3,
wherein the substrate comprises more than one material,
25 each material exhibiting a different temperature
sensitive response.
5. A magnetic indicator as claimed in any one of claims
2 to 4, wherein the indicator is applied to, or to the
30 packaging of, a product such that the exposure of the
product to temperatures which may have an adverse
effect on the quality of that product, can be
identified.

6. A magnetic indicator as claimed in any preceding claim, wherein the substrate is provided with at least one fault line underlying one or more of the magnetic elements, such that when the indicator is subjected to a shock which exceeds a predetermined level, the substrate responds by fracturing along the at least one fault line thereby causing the one or more magnetic elements to fracture.

7. A magnetic indicator as claimed in claim 1 or 6, wherein the indicator is arranged in proximity to a cap or seal, such that the substrate will fracture, thereby causing one or more of the overlying elements to fracture, when the cap or seal is opened.

8. A magnetic indicator as claimed in any preceding claim, wherein the substrate comprises a non-planar substrate, the magnetic elements being arranged on the substrate at different angles relative to the plane of the tag and wherein the substrate responds to the predetermined change in environmental conditions by altering its profile or shape, thereby altering the relative positions of the responses from the magnetic elements.

9. A magnetic indicator as claimed in any preceding claim, wherein the substrate is arranged to absorb water or other chemical contaminants.

10. A magnetic indicator as claimed in any preceding claim, wherein some or all of the magnetic elements are

arrange on the substrate such that the spacing between them represents a code.

11. A magnetic indicator as claimed in claim 10,
5 wherein the magnetic elements which serve to encode information are supported by carrier material which is not significantly affected by the change(s) in environmental conditions.

10 12. A method of reading a magnetic indicator as claimed in any preceding claim, comprising i) subjecting the magnetic elements to a magnetic interrogation field comprising, in a given direction, a high saturating magnetic field region, contiguous with a zero magnetic
15 field region, (ii) simultaneously applying a low amplitude ac magnetic field; (iii) causing relative movement between the magnetic interrogation field and the magnetic elements; and (iv) detecting harmonics of the applied ac field which are generated by the
20 magnetic element as its magnetisation state is altered by passing through said zero magnetic field region.

13. A method as claimed in claim 12, wherein the method is carried out by means of a single read head which
25 generates a magnetic interrogation field having a centre line.

14. A method of reading a magnetic indicator as claimed in claim 12, when applied to a magnetic indicator as
30 claimed in claim 8, wherein the method is carried out by means of a first and second readhead, each readhead generating a magnetic field having a different centre line so as to allow for compensation in the error of the detected position of the elements on the non-planar
35 substrate.

15. A magnetic indicator comprising a plurality of magnetic elements having remotely detectable properties arranged on a substrate, wherein the indicator is
5 provided with a protective layer at least partially overlaying the magnetic elements, the layer being arranged so as to become worn away when exposed to frictional forces.

10 16. A magnetic indicator substantially as herein described with reference to the accompanying drawings.

15

20

25

30

1/4

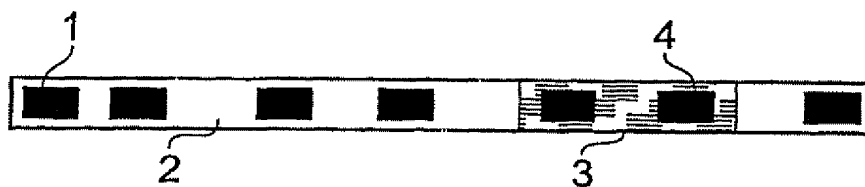


Fig. 1A

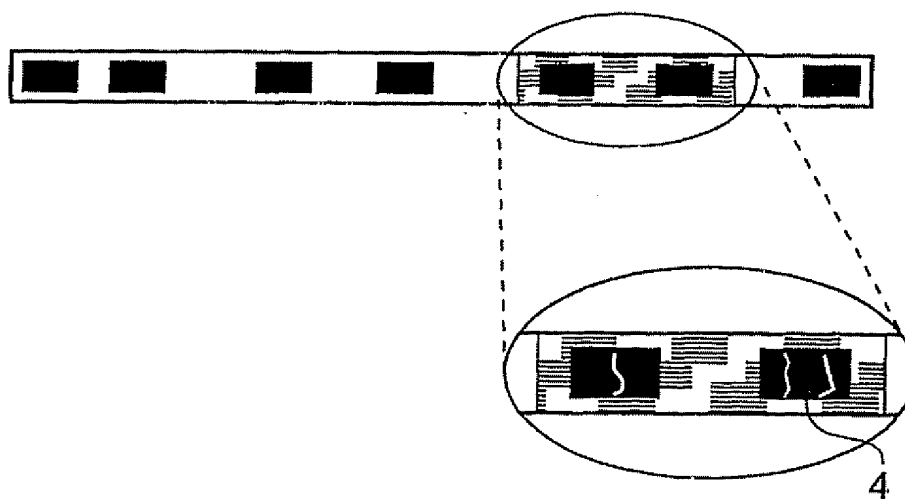


Fig. 1B

2/4

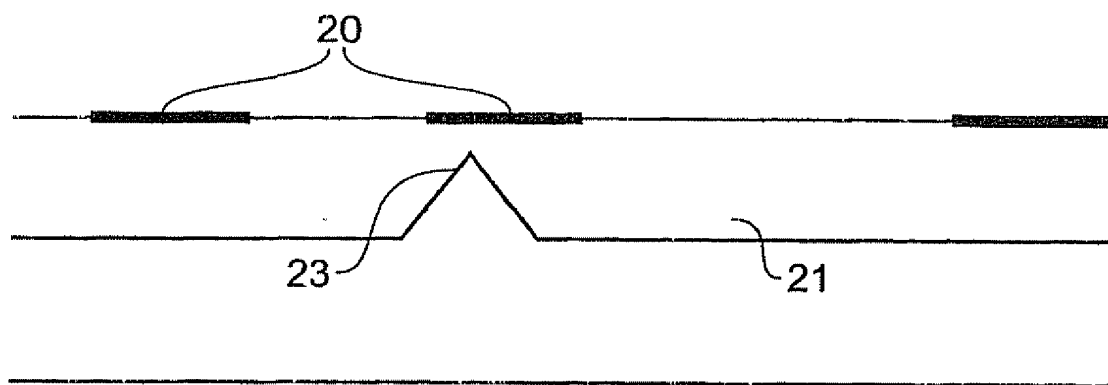


Fig. 2

3/4

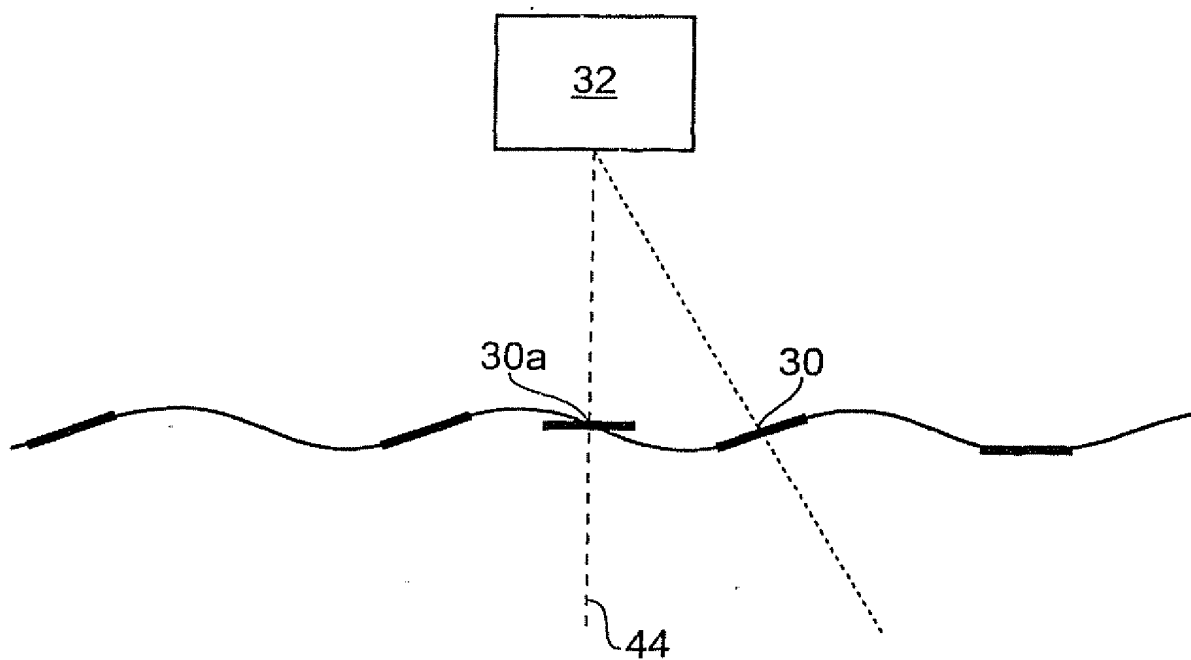


Fig. 3A

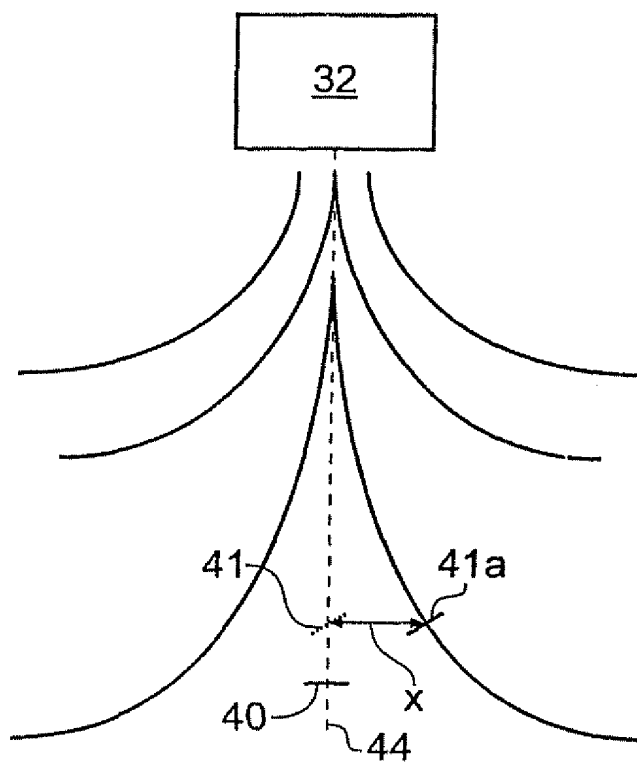


Fig. 3B

4/4

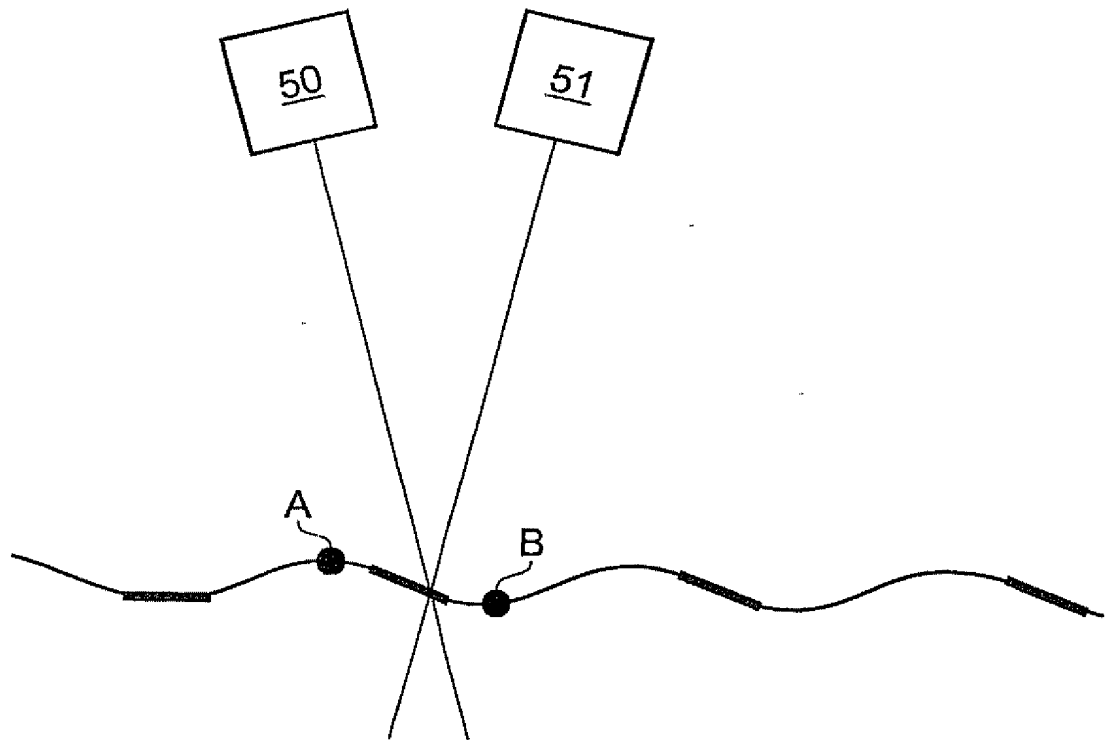


Fig. 4

INTERNATIONAL SEARCH REPORT

International Application No.
PCT/GB 02/05805

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G08B21/18 G08B13/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G08B B65D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 528 228 A (WILK PETER J) 18 June 1996 (1996-06-18) abstract	1-16
A	EP 0 329 960 A (WESTINGHOUSE ELECTRIC CORP) 30 August 1989 (1989-08-30) abstract	1-16
A	WO 97 38364 A (TSAMBOURAKIS NIKOLAOS ; EDIS S A (GR); CHINOPOROS MICHAEL (GR)) 16 October 1997 (1997-10-16) abstract	1-16
A	GB 2 145 516 A (NMI LTD) 27 March 1985 (1985-03-27) abstract	1-16

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- * & * document member of the same patent family

Date of the actual completion of the international search

13 March 2003

Date of mailing of the international search report

20/03/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Sgura, S

INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. Application No
PCT/GB 02/05805

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5528228	A	18-06-1996	US 6046678 A	04-04-2000
EP 0329960	A	30-08-1989	US 4813564 A	21-03-1989
			EP 0329960 A2	30-08-1989
			JP 1254568 A	11-10-1989
WO 9738364	A	16-10-1997	GR 1002609 B	18-02-1997
			AU 2173097 A	29-10-1997
			EP 0850442 A1	01-07-1998
			WO 9738364 A1	16-10-1997
GB 2145516	A	27-03-1985	NONE	